



American General Life Insurance Company
A member company of American International Group, Inc.
P.O. Box 871 • Amarillo, Texas 79105

Claimant Information	DECEASED FULL NAME		DATE OF DEATH	CAUSE OF DEATH		DATE OF BIRTH
	CONTRACT NUMBER		I CERTIFY THAT THE CONTRACT HAS BEEN: <input type="checkbox"/> ENCLOSED <input type="checkbox"/> LOST <input type="checkbox"/> DESTROYED			
	CLAIMANT'S NAME	CLAIMANT'S SS NO. OR TAX I.D.		DATE OF BIRTH	RELATIONSHIP TO DECEASED	
	ADDRESS	CITY	STATE	ZIP	PHONE NO. () - () - ()	
	HAVE YOU GIVEN THE FUNERAL HOME AN ASSIGNMENT TO COLLECT ANY AMOUNT DUE UNDER THIS CLAIM? <input type="checkbox"/> YES <input type="checkbox"/> NO			IF YES, WHAT AMOUNT? \$ _____		(ATTACH COPY OF ASSIGNMENT)
Manner of Payment	MANNER OF PAYMENT (see reverse side for description)					
<input type="checkbox"/> 1. Lump sum payment <input type="checkbox"/> 2. Spousal continuation upon death of owner <input type="checkbox"/> 3. Add to an existing American General Life Policy, Policy No. _____ <input type="checkbox"/> 4. Delayed payment provided by mandatory distribution requirements under the Internal Revenue Code (not available for Trust or Estate) <input type="checkbox"/> 5. Settlement option: option selected from page 2 of this form _____ 6. OLL, AAL only - Amounts exceeding \$5000 will be placed into an Interest-Bearing checking account						
Correspondence	WHERE SHOULD THE CHECK OR CORRESPONDENCE BE MAILED?					
Withholding and Certification	OWNER'S CERTIFICATION OF SOCIAL SECURITY NUMBER/TAXPAYER IDENTIFICATION NUMBER					
Under penalties of perjury, I certify that the number shown above is my correct taxpayer identification number, and						
Under penalties of perjury, I certify that I _____ am not, _____ am subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service that I am subject to backup withholding as a result of failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding.						
If your payments of estimated tax are inadequate and sufficient amount of tax is not withheld from any distribution, penalties may be imposed under estimated tax payment rules.						
<input type="checkbox"/> I elect NOT to have Federal Income Tax withheld from the TAXABLE PORTION of my distribution.						
<input type="checkbox"/> I elect to have Federal Income Tax withheld from the TAXABLE PORTION of my distribution.						
This payment will be reported to the Internal Revenue Service and you will receive a Form 1099 at year end. If you have tax questions regarding this, contact your tax advisor.						
DATED at _____ this _____ day of _____						
_____			_____			
ASSIGNEE, if applicable			CLAIMANT			

GENERAL INSTRUCTIONS

- 1. Claimant's Statement.** This statement must be completed by the claimant. If there is more than one claimant, each must complete a separate statement.
- 2. Death Certificate.** A certified copy of the death certificate is to be furnished with this form.

BENEFICIARY DESIGNATION

A Minor or Mentally Incompetent Person

- a. Legal guardian of the beneficiaries property should complete the form.
- b. Submit **certified** copy of guardian's appointment.

Estate of Insured

- a. Executor or Administrator should complete this form.
- b. Submit **certified** copy of Executor or Administrator's appointment.
- c. If Estate will not be probated, heirs should complete form.

Heirs of Insured (or Others of a Class)

- a. Submit notarized statement from a DISINTERESTED party who has knowledge of the insured's family history giving names and birth dates of each (Letters of Heirship).
- b. If any are deceased, give date and place of death, marital status at time of death, and names of surviving children. Tell us whether the deceased beneficiary left a Will.

An Assignee

- a. Form to be completed by assignee.
- b. If collateral assignment, form to be completed by beneficiary and assignee jointly.
- c. A statement of the amount claimed by the assignee.

Residents of New York - applies only to deaths prior to February 1, 2000.

If the insured was a RESIDENT in the state of NEW YORK AT THE TIME OF DEATH, the AMOUNT PAYABLE IS OVER \$30,000, and the BENEFICIARY IS OTHER THAN THE SPOUSE OR OWNER OF THE POLICY A NEW YORK TAX WAIVER IS REQUIRED.

MANNER OF PAYMENT

You may receive payment in any of the following ways:

- 1. Lump Sum Payment.** Proceeds will be paid to you in a lump sum.
- 2. Spousal Continuation Upon Death of Owner.** If the owner dies and the beneficiary is the surviving spouse of the owner, a distribution is not required. The contract may be continued in the name of the surviving spouse as Owner, subject to the provisions of the contract. To elect this option you must also complete a Change of Beneficiary, form L 165 and a request for Change of Ownership, form L 8852.
- 3. Add to Existing American General Life Policy.** You may request that the proceeds be transferred to your existing American General Life Policy, subject to the provisions of the existing contract.
- 4. Mandatory distribution requirements under the Internal Revenue Code. (Not available to Trust or Estate)**
 - A. Non-qualified contracts - Section 72(s) of the Internal Revenue Code.** If the owner of a non-qualified contract dies prior to the annuitant and before the annuity date, a distribution must occur:
 1. within 5 years after the date of death of the owner; or
 2. over a period not greater than the life or expected life of the beneficiary, with annuity payments beginning within one year after the date of death of the owner.
 - B. Qualified contracts - Section 401(a)(9) of the Internal Revenue Code.** If the owner of a qualified contract dies prior to the annuity date, then:
 1. the owner's entire interest must be distributed by December 31st of the calendar year following the fifth anniversary of the owner's death; or
 2. the owner's entire interest may be distributed by no later than over the life expectancy of the named beneficiary, as long as payments commence by December 31st of the calendar year following the first anniversary of the owner's death. If the named beneficiary is other than a natural person or certain trusts, then B.2. does apply.
- 5. Settlement Option.** The popular settlement options are listed below. You should however refer to the contract as it may offer options in addition to the options described.
 - Life Only.** *This option provides for income that is guaranteed for life. Payments under this option are generally higher than those indicated below. However, there is no guaranteed minimum number of payments or any provision for a death benefit payable to your beneficiary.
 - Life with Guaranteed Period.** *This option provides for equal periodic payments to be made during the lifetime of the Annuitant. If the Annuitant dies before the number of payments guaranteed have been paid, payments will be continued to the beneficiary of the Annuitant until all payments guaranteed have been paid.
 - Designated Period.** This option provides for equal periodic payments for a specified number of years. Upon the death of the annuitant, payments will continue to the designated beneficiary through the end of the specified period.
 - Designated Amount.** This option provides for equal periodic payments to the annuitant or designated beneficiary at the amount specified until the contract's proceeds and interest have been exhausted.
 - Joint and Last Survivorship.** *This option guarantees an income for the joint lifetime of yourself and a designated second person (usually your spouse). Upon the death of one payee, payment will be continued in equal or lesser amounts to the survivor, as chosen by the owner.*If you select any of these, you must also submit a copy of your birth certificate, valid drivers license, or current passport.
- 6. *If your proceeds are eligible and exceed the current applicable minimum (\$5000) set by the company, the proceeds will be placed into an interest-bearing checking account in your name. You may immediately write a check for the full amount or leave your account open and draw money only as you need it. Meanwhile, the funds will earn interest at the variable rate currently effective for United States Life Instant Access accounts payable through State Street Bank and Trust Company. The Instant Access account is not available to estates, trusts or guardianships.**